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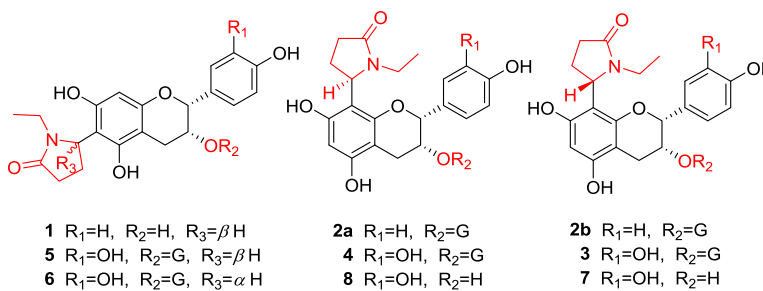
Graphic for table of contents

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Black Tea 'Jin-Ya'



**New Flavoalkaloids with Potent α -Glucosidase and Acetylcholinesterase
Inhibitory Activities from Yunnan Black Tea 'Jin-Ya'**

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1 ABSTRACT

2 As the subgroup of flavoalkaloids, *N*-ethyl-2-pyrrolidinone substituted flavan-3-ols are
3 reported to possess various biological activities that may play important roles in the
4 beneficial healthcare functions of tea. The HPLC and LC-MS analyses showed the
5 existence of *N*-ethyl-2-pyrrolidinone substituted flavan-3-ols in 'Jin-Ya', which is a
6 Yunnan black tea produced only from buds of tea plant, *Camellia sinensis* var. *assamica*.
7 Further phytochemical study on this precious black tea led to the identification of eight
8 flavoalkaloids, **1–8**, along with 11 known flavan-3-ols (**9–14**) and flavonol glycosides
9 (**15–19**). The new compounds, (–)-6-(5''*S*)-*N*-ethyl-2-pyrrolidinone-epiafzelechin (**1**),
10 (–)-8-(5''*R*)-*N*-ethyl-2-pyrrolidinone-epiafzelechin-3-*O*-gallate (**2a**) and (–)-8-(5''*S*)-*N*-
11 ethyl-2-pyrrolidinone-epiafzelechin-3-*O*-gallate (**2b**), were identified based on
12 extensive spectroscopic analysis. Flavoalkaloids **2–6** showed inhibitory activity on α -
13 glucosidase with IC₅₀ values ranging from 2.09 to 8.47 μ M, comparing to those of
14 quercetin and acarbose (IC₅₀ = 6.87 and 228.9 μ M, resp.). Moreover, compounds **2**, **3**
15 and **6** displayed inhibitory effect on acetyl-cholinesterase with IC₅₀ values of 14.23,
16 33.79 and 34.82 μ M, respectively, comparing to tacrine (IC₅₀ = 0.223 μ M).

17 **KEYWORDS:** *Camellia sinensis* var. *assamica*, Yunnan black tea, Jin-Ya,
18 flavoalkaloids, *N*-ethyl-2-pyrrolidinone substituted flavan-3-ols, α -glucosidase
19 inhibitory activity, AChE inhibitory activity.

20 **Introduction**

21 Black tea is a globally popular beverage that originated in China. It is welcomed by
22 consumers from different ages, places, cultures, and societies, because of its attractive
23 and strong flavor and health benefits. In the processing of black tea, the withered leaves
24 or buds are rolled and crushed, which disrupts cellular compartmentation and brings
25 phenolic compounds into contact with polyphenol oxidases to form oligomers such as
26 theaflavins (TFs) and polymers known as thearubigins (TRs), before drying.¹⁻⁴ The
27 chemical constituents of black tea have been investigated for a longer time, thus
28 discovered a variety of compounds, e.g., flavonoids, theaflavins, theaflagallins,
29 theadibenzotropolones, and theacitrins.⁵⁻²² Despite the fact that TFs from black tea were
30 first discovered in the early 1960s and explored extensively after then, not much
31 progress has been made on other unknown polyphenols, which constitute a significant
32 part of the black tea polyphenols.²³⁻²⁸

33 Flavoalkaloids are a class of natural products that possess a flavonoid framework in
34 addition to one or more nitrogen atoms.²⁹ From tea and tea plants, a kind of
35 flavoalkaloids, with a *N*-ethyl-2-pyrrolidinone moiety at the position C-6 or/and C-8 of
36 the A ring of flavan-3-ol skeleton, were isolated, displaying diverse biological activities,
37 e.g., inhibitory effect on acetylcholinesterase (AChE) and advanced glycation end
38 products (AGE), protective effect on HMEC injured by H₂O₂, and antioxidant
39 activity.³⁰⁻³³ These compounds were first discovered in a blended black tea,¹² and Pu-
40 er tea³³. Their biosynthesis pathway was considered as, the richest amino acid in tea,
41 i.e. theanine, was degraded to a Strecker aldehyde and combined with flavan-3-ol A-
42 ring during tea fermentation process under the help of enzyme or microbes.^{12, 33} In our
43 previous work, eight flavoalkaloids together with the substitute unit, *N*-ethyl-5-
44 hydroxy-2-pyrrolidinone were identified in the leaves of *C. sinensis* var. *pubilimba*.³⁰

45 Meanwhile, these compounds were also found in Xi-Gui green tea (*C. sinensis* var.
46 *assamica*) and white tea (*C. sinensis* var. *sinensis*).^{31, 32}

47 Yunnan black tea, originated from Fengqing County of Yunnan Province, China, is
48 made of the leaves and/or buds of *C. sinensis* var. *assamica*. Among which, 'Jin-Ya' is
49 a precious Yunnan black tea produced with only buds of the tea plant growing in
50 Fengqing area. It has attracting more and more consumers due to the significant
51 pleasant flavor and mild taste. Our preliminary analysis by high-performance liquid
52 chromatography coupled with electrospray ionization mass spectrometry (HPLC-ESI-
53 MS), indicated the existence of flavoalkaloids in 'Jin-Ya' black tea. Further
54 phytochemical study led to the isolation and identification of eight flavoalkaloids (**1-**
55 **8**), along with 11 flavan-3-ols (**9-14**) and flavonol glycosides (**15-19**). Most of the
56 isolated compounds were also evaluated the inhibitory activity on α -glucosidase and
57 AChE. Herein, we present the study.

58 MATERIALS AND METHODS

59 **General Procedure.** Optical rotations were measured with a Rudolph Research
60 Analytical Autopol IV automatic polarimeter (Rudolph Research Analytical,
61 Hackettstown, USA). The circular dichroism (CD) spectrum was detected with an
62 Applied Photophysics Chirascan V100 spectrometer (Applied Photophysics Ltd.,
63 Leatherhead, Surrey, UK). IR and UV spectra were measured on a Bio-Rad FTS-135
64 series spectrometer (Bio-Rad, Hercules, USA) with KBr pellets and a Shimadzu
65 UV2401A ultraviolet-visible spectrophotometer (Shimadzu Co., Kyoto, Japan),
66 respectively. ESIMS and HRESIMS were run on a Shimadzu LCMS-IT-TOF
67 spectrometer (Shimadzu Co., Kyoto, Japan), Agilent G6230 TOF MS (Agilent
68 Technologies Inc., Santa Clara, USA) and API QSTAR Pular-1 spectrometers (Thermo
69 Fisher Scientific Inc., Waltham, USA), respectively. 1D and 2D NMR spectra were

70 recorded in CD₃OD with Bruker DRX-600 and DRX-800 spectrometers (Bruker Co.,
71 Karlsruhe, Germany) operating at 600 and 800 MHz for ¹H and 150 and 200 MHz for
72 ¹³C, respectively. Chemical shifts were described in units of δ (ppm) with TMS as an
73 internal standard, and coupling constants (*J*) were expressed in Hertz (Hz). Water was
74 purified by Milli-Q system (Millipore Co., Billerica, USA).

75 **Chemicals and Reagents.** Column chromatography (CC) was carried out over
76 Sephadex LH-20 (25-100 μ m, GE Healthcare Bio-Science AB, Uppsala, Sweden), RP-
77 18 (40-60 μ m, Merck, Darmstadt, Germany), MCI-gel CHP20P (75-100 μ m,
78 Mitsubishi Chemical Co. Ltd., Tokyo, Japan), and TSK gel Toyopearl HW-40F (37-70
79 μ m, Tosoh Co. Ltd., Tokyo, Japan). Semi-preparative HPLC was carried out using a
80 Hanbon series (Hanbon Sci. & Tech., Huai'an, China) HPLC system and a Agilent
81 ZORBAX SB-C18 column (5 μ m, 250 mm \times 9.4 mm), and the flowing rate was 3
82 mL/min. An Agilent series 1200 (Agilent Technologies) liquid chromatography column
83 equipped with a 1290 diode array detector (DAD), and a 6540 Ultra-High-Definition
84 Accurate-Mass Q-TOF mass spectrometry system (Agilent Technologies) with
85 electrospray ionization, were used for HPLC-DAD-MS analysis, operating in full-scan
86 MS mode from 100 to 1500 *m/z*. Thin-layer chromatography (TLC) was carried on 0.2-
87 0.25 mm silica gel H-precoated plates (Qingdao Haiyang Chemical Co., Ltd., Qingdao,
88 China) with benzene/ethyl formate/formic acid (Shanghai Macklin Biochemical Co.
89 Ltd., Shanghai, China) (3:6:1, 4:5:1, 5:4:1, v/v/v) as the mobile phase, and spots were
90 detected by spraying with 2% ethanolic FeCl₃ or anisaldehyde-H₂SO₄ reagent
91 (Shanghai Xilong Biochemical Technology Co. Ltd., Shanghai, China) followed by
92 heating. 4-Nitrophenyl- α -D-glucopyranoside (PNPG), α -glucosidase, quercetin, *S*-
93 acetylthiocholine iodide, *S*-butyrylthiocholine iodide, 5,5'-dithio-bis-(2-nitrobenzoic)
94 acid (DTNB, Ellman's reagent), acetylcholinesterase derived from human erythrocytes

95 were purchased from Sigma Chemical (Merck KGaA, Darmstadt, Germany).

96 **Materials.** 'Jin-Ya' black tea were purchased from Fengshan town, Fengqing County,
97 Yunnan Province, P. R. China, in 2017. A standard sample (KIB-Z-1705002) has been
98 deposited in the State Key Laboratory of Phytochemistry and Plant Resources in West
99 China, Kunming Institute of Botany (KIB), Chinese Academy of Sciences (CAS).

100 **HPLC and LC-MS Analysis.** The fine powder (1.500 g) of 'Jin-Ya' was soaked in 70%
101 MeOH (100 mL) at room temperature for 12 h, during which ultrasonic baths were
102 carried out three times, each time for 15 min. To eliminate the impact of caffeine, the
103 crude extract was suspended into water (50 mL) and extracted with chloroform (30 mL)
104 for five times, in order to remove most of the caffeine. After removal of the organic
105 solvent under reduced pressure, the aqueous fraction was filtered through a 0.45 μm
106 nylon membrane for HPLC and LC-DAD-MS analyses.

107 HPLC analysis was performed on an Agilent Zorbax SB-C18 column (4.6×250 mm,
108 $5 \mu\text{m}$), with a gradient elution of 4–40% (45 min) acetonitrile aqueous solution. The
109 flow rate of 1.0 mL/min, and the column temperature was set at 30 °C. HPLC
110 comparison of standards with 70% MeOH extract of 'Jin-Ya' (Figure S2) was did under
111 the same condition except for the mobile phase was acetonitrile aqueous solution with
112 50 mM H_3PO_4 . The injection volume was 2 μL , and UV wavelength was monitored
113 between 210 and 400 nm.

114 MS analysis was performed with electrospray interface (ESI), operating in full-scan
115 MS mode from 100 to 1500 m/z . Sample was analyzed using both negative and positive
116 ionization modes. ESI-MS parameters were as follows: ion spray voltage was 4 kV, and
117 capillary temperature was 300 °C.

118 **Extraction and Isolation.** The Yunnan black tea 'Jin-Ya' (4.0 kg) were extracted four
119 times (each time 1 week) with 60% aqueous acetone at room temperature. After acetone

120 was removed under reduced pressure, the extract was fractionated with chloroform and
121 EtOAc, successively. The EtOAc fraction (185 g) was applied to Sephadex LH-20
122 column chromatography (CC), eluting with MeOH-H₂O (0:1-1:0), to afford six
123 fractions (Fr. 1-Fr. 6). Fr. 4 (50.0 g), the flavoalkaloid enriched fraction was further
124 divided into six sub-fractions (Fr. 4-1-Fr. 4-6) by MCI-gel CHP20P CC using MeOH-
125 H₂O (0:1-1:0) as the eluent. Fr. 4-4 (6.98 g) was applied to MCI-gel CHP20P CC,
126 eluting with MeOH-H₂O (0:1-1:0), to afford seven fractions (Fr. 4-4-1-Fr. 4-4-7). Fr.
127 4-4-5 (2.46 g) was applied to repeated CC over Sephadex LH-20, Toyopearl HW-40F,
128 and RP-18, eluting with MeOH-H₂O (0:1-1:0), followed with semi-preparative HPLC
129 (MeCN-H₂O, 16:84) to yield **1** (1.8 mg), **2** (3.3 mg), **9** (3.0 mg), **14** (1.5 mg), **18** (3.8
130 mg), and **19** (3.7 mg). Fr. 4-4-4 (2.56 g) gave compounds **3** (41.2 mg), **4** (62.2 mg), **5**
131 (11.6 mg), **6** (11.8 mg), **10** (13.3 mg), **12** (1.8 mg), **15** (33.2 mg), **16** (8.4 mg), and **17**
132 (6.6 mg) by the same method (semi-preparative HPLC: MeCN-H₂O, 18:82). Fr. 4-3
133 (4.0 g) was subjected to MCI-gel CHP20P and Sephadex LH-20 CC, eluting with
134 MeOH-H₂O (0:1-1:0), followed with semi-preparative HPLC (MeCN-H₂O, 13:87) to
135 yield **7** (6.7 mg), **8** (4.4 mg), **11** (3.6 mg), and **13** (3.6 mg).

136 Compound **1**. Brown amorphous powder; ESI-MS: m/z 384 [M - H]⁻. HRESI-MS:
137 m/z 384.1455 [M - H]⁻ (calcd for C₂₁H₂₂NO₆⁻, 384.1453). $[\alpha]_D^{20}$ -29.7 (*c* 0.16, MeOH).
138 IR (KBr): ν_{\max} 3411, 2969, 1617, 1517, 1452, 1384, 1231, 1126, 988, 819, 793 cm⁻¹.
139 UV λ_{\max} (methanol) (log ϵ): 276 (3.57), 211 (4.63) nm. ¹H NMR (800 MHz, CD₃OD):
140 δ_H 4.85 (1H, s, H-2), 5.45 (1H, br s, H-3), 2.70 (1H, br s, H-4 α), 2.88 (1H, br s, H-4 β),
141 6.02 (1H, s, H-8), 7.30 (2H, d, J = 8.4 Hz, H-2',6'), 6.78 (2H, d, J = 8.4 Hz, H-3',5'),
142 2.46-2.40 (1H, m, H-3'' α), 2.66 (1H, dd, J = 12.2, 5.5 Hz, H-3'' β), 2.16 (1H, dq, J =
143 17.1, 5.6 Hz, H-4'' α), 2.38-2.29 (1H, m, H-4'' β), 5.45 (1H, s, H-5''), 2.65 (1H, dd, J =
144 12.2, 5.5 Hz, H-6'' α), 3.48 (1H, br s, H-6'' β), 1.01 (1H, t, J = 7.2 Hz, H-7''). ¹³C NMR

145 (200 MHz, CD₃OD): δ_C 79.7 (C-2), 67.1 (C-3), 29.5 (C-4), 156.6 (C-5), 108.0 (C-6),
146 158.1 (C-7), 97.6 (C-8), 156.6 (C-9), 100.7 (C-10), 131.4 (C-1'), 129.1 (C-2', 6'), 115.8
147 (C-3', 5'), 158.1 (C-4'), 177.6 (C-2''), 32.6 (C-3''), 24.5 (C-4''), 54.2 (C-5''), 36.3 (C-6''),
148 12.6 (C-7'').

149 Compound **2**. Brown amorphous powder; ESI-MS: m/z 536 [M – H][–]. HRESI-MS:
150 m/z 536.1560 [M – H][–] (calcd for C₂₈H₂₆NO₁₀[–], 536.1557). $[\alpha]_D^{20}$ –232.3 (*c* 0.14,
151 MeOH). IR (KBr): ν_{\max} 3400, 2976, 1694, 1615, 1518, 1455, 1369, 1232, 1114, 1040,
152 971, 837, 768 cm^{–1}. UV λ_{\max} (methanol) (log ϵ): 276 (4.07), 212 (4.77) nm. ¹H NMR
153 (600 MHz, CD₃OD): major isomer (**2a**): δ_H 6.80 (2H, s, H-2''', 6'''), 4.95 (1H, s, H-2),
154 5.26 (1H, s, H-3), 2.82 (1H, d, J = 17.5 Hz, H-4 α), 2.92 (1H, d, J = 17.5 Hz, H-4 β),
155 5.96 (1H, s, H-6), 7.15 (2H, d, J = 8.2 Hz, H-2', 6'), 6.62 (2H, d, J = 8.2 Hz, H-3', 5'),
156 2.11-2.02 (1H, m, H-3'' α), 2.26-2.18 (1H, m, H-3'' β), 2.08-1.99 (1H, m, H-4'' α), 2.15
157 (1H, t, J = 10.8 Hz, H-4'' β), 5.40-5.32 (1H, m, H-5''), 2.80-2.75 (1H, m, H-6'' α), 3.58
158 (1H, dd, J = 13.4, 6.9 Hz, H-6'' β), 0.99 (1H, t, J = 6.6 Hz, H-7''); minor isomer (**2b**): δ_H
159 6.84 (2H, s, H-2''', 6'''), 4.95 (1H, s, H-2), 5.26 (1H, s, H-3), 2.82 (1H, d, J = 17.5 Hz,
160 H-4 α), 2.92 (1H, d, J = 17.5 Hz, H-4 β), 5.96 (1H, s, H-6), 7.20 (2H, d, J = 8.2 Hz, H-
161 2', 6'), 6.61 (2H, d, J = 8.2 Hz, H-3', 5'), 2.11-2.02 (1H, m, H-3'' α), 2.26-2.18 (1H, m,
162 H-3'' β), 2.08-1.99 (1H, m, H-4'' α), 2.15 (1H, t, J = 10.8 Hz, H-4'' β), 5.40-5.32 (1H, m,
163 H-5''), 2.80-2.75 (1H, m, H-6'' α), 3.58 (1H, dd, J = 13.4, 6.9 Hz, H-6'' β), 0.99 (1H, t,
164 J = 6.6 Hz, H-7''). ¹³C NMR (150 MHz, CD₃OD): major isomer (**2a**): δ_C 121.2 (C-1'''),
165 110.3 (C-2''', 6'''), 146.7 (C-3''', 5'''), 140.6 (C-4'''), 167.8 (C-7'''), 79.2 (C-2), 70.3 (C-
166 3), 27.4 (C-4), 156.4 (C-5), 96.3 (C-6), 158.4 (C-7), 105.6 (C-8), 156.8 (C-9), 100.3 (C-
167 10), 130.6 (C-1'), 129.2 (C-2', 6'), 116.2 (C-3', 5'), 157.8 (C-4'), 177.3 (C-2''), 32.6 (C-
168 3''), 24.3 (C-4''), 54.4 (C-5''), 36.9 (C-6''), 12.9 (C-7''); minor isomer (**2b**): δ_C 120.9 (C-
169 1'''), 110.2 (C-2''', 6'''), 146.6 (C-3''', 5'''), 140.6 (C-4'''), 167.8 (C-7'''), 78.7 (C-2), 69.7

170 (C-3), 27.4 (C-4), 156.4 (C-5), 96.3 (C-6), 158.4 (C-7), 105.6 (C-8), 156.8 (C-9), 100.2
171 (C-10), 130.5 (C-1'), 129.3 (C-2', 6'), 116.1 (C-3', 5'), 157.8 (C-4'), 177.7 (C-2''), 32.6
172 (C-3''), 24.6 (C-4''), 54.4 (C-5''), 36.5 (C-6''), 12.7 (C-7'').

173 **α -Glucosidase Inhibitory Activity.** An enzyme-inhibitor screening model was chosen
174 using 4-nitrophenol- α -D-glucopyranoside (PNPG) and slightly modified.³⁴ Briefly, test
175 compound (50 μ M), α -glucosidase solution (0.025 U/mL), phosphate buffer (pH 6.9)
176 and PNPG (1 μ M) were incubated in 96-well plates at 37 °C for 50 min. Absorbance at
177 405 nm was recorded on a micro-plate reader. Blank readings (no enzyme) were
178 subtracted from each well and results were compared to the control. The quercetin was
179 selected as the positive control. All the reactions were repeated three times. The
180 inhibition percentage was calculated as follows: % inhibition = $(E - S)/E \times 100$ (E is
181 the activity of the enzyme without tested compound and S is the activity of enzyme
182 with tested compound), and IC_{50} were calculated according to the Reed & Muench
183 method.³⁵

184 **AChE Inhibitory Activity.** As described in literature with slight modification, AChE
185 inhibitory activity of C-6/8 *N*-ethyl-2-pyrrolidinone substituted flavan-3-ols was
186 performed.³⁶ The compounds to be tested were dissolved in DMSO. A mixture (total
187 200 μ L) containing phosphate buffer (pH 8.0), compound (50 μ M) and acetyl
188 cholinesterase (0.02 U/mL) was incubated for 20 min (37 °C). Then, the reaction was
189 initiated by addition of 40 μ L of a solution containing DTNB (0.625 mM) and
190 acetylthiocholine iodide (0.625 mM) for AChE inhibitory activity assay. The hydrolysis
191 of acetylthiocholine was monitored at 405 nm every 30 seconds for 1 hour. Tacrine was
192 used as positive control with a final concentration of 0.333 μ M. All the reactions were
193 repeated three times. The percentage inhibition was calculated as follows: % inhibition
194 = $(E - S)/E \times 100$ (E is the activity of the enzyme without tested compound and S is

195 the activity of enzyme with tested compound).

196 RESULTS AND DISCUSSION

197 **HPLC and LC-MS Analysis.** The 70% aqueous MeOH extract of 'Jin-Ya' black tea
198 was subjected to HPLC (Figure 1) and LC-DAD-MS analysis. Based on their retention
199 times (t_R), absorbance spectrum, quasimoleculars and fragment ions, and HPLC
200 analysis comparing with standards (Figure S2), and reported data, eighteen flavan-3-ol
201 derivatives (including six catechins, six flavoalkaloids, four flavan-3-ol dimers and two
202 theaflavins), five phenolic acid derivatives, together with three flavonol and its
203 glycosides were identified, and the results are shown in Table 1. Most of these
204 compounds were obtained from 'Jin-Ya' black tea during further isolation.

205 Peaks 5, 7, 11, 12, 19, and 26 in Figure 1 were supposed to be catechins. Peak 5 was
206 identified as (-)-epigallocatechin by the $[M - H]^-$ quasi-molecular ion of m/z 305 and
207 $[M + H]^+$ quasi-molecular ion of m/z 306, and this could be further verified by
208 comparing the t_R (12.97 min) and UV absorption (λ_{max} 214, 269 nm) with those of the
209 standard sample. Similarly, peaks 7 (t_R 14.57 min; λ_{max} 212, 274 nm), 11 (t_R 17.75 min;
210 λ_{max} 211, 274 nm), 12 (t_R 18.45 min; λ_{max} 209, 271 nm), and 19 (t_R 24.08 min; λ_{max} 209,
211 273 nm) were identified as (+)-catechin, EC (**9**), EGCG (**13**), and ECG (**11**),
212 respectively. Peak 26 (t_R 28.11 min; m/z 425 $[M - H]^-$, 273 $[M - H - galloyl]^-$, 255
213 $[273 - H_2O]^-$, 169 $[gallic\ acid]^-$) was identified as (-)-epiafzelechin 3-*O*-gallate (EAG,
214 **10**), and this was confirmed by comparing to the isolated standard compound.

215 Peaks 16, 17, and 22-25 in Figure 1 were proposed to be flavoalkaloids, since their
216 molecular weights are odd numbers. Due to the ions (m/z 400 $[M - H - galloyl]^-$,
217 248,³³ 169, 112 $[1\text{-ethyl-5-hydroxy-2-pyrrolidinone}]^-$) and their t_R (Table 1), peaks 22
218 and 25 were identified as (-)-8-(5''*S*)-*N*-ethyl-2-pyrrolidinone-epicatechin-3-*O*-gallate
219 (**3**) and (-)-6-(5''*R*)-*N*-ethyl-2-pyrrolidinone-epicatechin-3-*O*-gallate (**6**). Peaks 16 and

220 17 (m/z 400 $[M - H]^-$; t_R 21.64 and 22.20 min, resp.) were identified as (-)-8-(5''S)-N-
221 ethyl-2-pyrrolidinone-epicatechin (7) and (-)-8-(5''R)-N-ethyl-2-pyrrolidinone-
222 epicatechin (8). Similarly, peaks 23 and 24 (m/z 552 $[M - H]^-$; t_R 27.40 and 27.79 min,
223 resp.) were identified to be (-)-6-(5''S)-N-ethyl-2-pyrrolidinone-epicatechin-3-O-
224 gallate (5) and (-)-8-(5''R)-N-ethyl-2-pyrrolidinone-epicatechin-3-O-gallate (4),
225 respectively. These compounds were acquired from further isolation and purification.

226 Peaks 4, 10, 14 and 15 in Figure 1 were inferred to be flavan-3-ol dimers. According
227 to comparison with standards and reference data, peaks 4 (t_R 11.59 min; λ_{max} 269 nm;
228 m/z 761 $[M - H]^-$) and 10 (t_R 16.67 min; λ_{max} 271 nm; m/z 913 $[M - H]^-$, 743 $[M - H$
229 $- galloyl - H_2O]^-$,³⁷ 591 $[743 - galloyl]^-$) were identified as theasinensins B and A,
230 respectively. Due to the molecular weight and quasi-molecular ions, peak 14 (t_R 19.69
231 min; m/z 885 $[M - H]^-$, 733 $[M - H - galloyl]^-$, 169), was thought to be an EGCG
232 dimer. Peak 15 (t_R 20.35 min; m/z 729 $[M - H]^-$, 577 $[M - H - galloyl]^-$, 441 $[ECG/CG]$
233 $^-$, 407 $[577 - galloyl - H_2O]^-$, 289 $[EC/C]^-$, 169) was supposed to be a procyanidin
234 dimer monogallate. Peaks 28 and 29 (m/z 715 $[M - H]^-$ and 867 $[M - H]^-$) were
235 supposed to be theaflavin-3-gallate and theaflavin-3,3'-digallate, by comparing UV
236 absorption (λ_{max} 273, 370 and 271, 370 nm, resp.) and t_R (34.99 and 35.95 min) with
237 those of the standard samples.

238 Peaks 1, 2, 6, 8 and 13 in Figure 1 were supposed to be phenolic acid derivatives. On
239 the basis of the ions (m/z 169 $[M - H]^-$, 125 $[169 - COO]^-$) and t_R (2.20 min), peak 1
240 was identified as gallic acid, by combining with the comparison with standard. In the
241 same way, peak 8 (m/z 633 $[M - H]^-$; t_R 14.80 min; λ_{max} 211, 274 nm), was identified
242 as strictinin. Peak 2 (t_R 4.82 min; m/z 331 $[M - H]^-$, 169 $[M - H - Glu]^-$) was identified
243 to be glucogallin. The molecular mass of peak 6 (t_R 13.35 min; m/z 483 $[M - H]^-$) was
244 152 Da more than that of peak 2. This 152 Da rise typically referring to a galloyl group,

245 and thus peak 6 was proposed to be digalloyl glucopyranose. Similarly, peak 13 (t_R
246 19.43 min; m/z 635 $[M - H]^-$, 483 $[M - H - 152]^-$, 169 $[\text{galloyl}]^-$), whose molecular
247 mass was 152 Da more than that of peak 6, was supposed to be trigalloyl glucopyranose.

248 Peaks 18, 20 and 21 in Figure 1 were thought to be flavonol and its glycosides. Peak
249 18 (m/z 301 $[M - H]^-$) was supposed to be quercetin, due to its molecular weight and
250 UV absorption (λ_{max} 212, 278 nm). Similarly, Peaks 20 (t_R 25.33 min; m/z 593 $[M -$
251 $H]^-$, 595 $[M + H]^+$) and 21 (t_R 25.74 min; m/z 447 $[M - H]^-$) were identified as
252 nicotiflorin (**15**) and kaempferol 3-*O*- β -D-galacopyranoside (**19**), and were further
253 isolated from 'Jin-Ya' black tea (Figure S1).

254 **Identification of Compounds 1-19.** The 60% aqueous acetone extract of 'Jin-Ya' black
255 tea was fractionated successively with chloroform and EtOAc. The EtOAc fraction was
256 subjected to repeated CC and semi-preparative HPLC to provide eight flavoalkaloids
257 (**1-8**), including two new ones, **1** and **2**, together with 11 known flavan-3-ols (**9-14**) and
258 flavonol glycosides (**15-19**). The known compounds were identified as (-)-8-(5"*S*)-*N*-
259 ethyl-2-pyrrolidinone-epicatechin-3-*O*-gallate (**3**),³¹ (-)-8-(5"*R*)-*N*-ethyl-2-
260 pyrrolidinone-epicatechin-3-*O*-gallate (**4**),³¹ (-)-6-(5"*S*)-*N*-ethyl-2-pyrrolidinone-
261 epicatechin-3-*O*-gallate (**5**),³¹ (-)-6-(5"*R*)-*N*-ethyl-2-pyrrolidinone-epicatechin-3-*O*-
262 gallate (**6**),³¹ (-)-8-(5"*S*)-*N*-ethyl-2-pyrrolidinone-epicatechin (**7**),³³ (-)-8-(5"*R*)-*N*-
263 ethyl-2-pyrrolidinone-epicatechin (**8**),³³ (-)-epicatechin (**9**),³⁸ (-)-epiafzelechin 3-*O*-
264 gallate (**10**),³⁹ (-)-epicatechin 3-*O*-gallate (**11**),³⁸ (-)-epiafzelechin (**12**),⁴⁰ (-)-
265 epigallocatechin 3-*O*-gallate (**13**),⁴¹ bis(8-epicatechinyl)methane (**14**),⁴² nicotiflorin
266 (**15**),⁴³ rutin (**16**),⁴⁴ kaempferol 3-*O*-robinoside (**17**),⁴⁵ kaempferol 3-*O*- β -D-
267 glucopyranoside (**18**),⁴⁴ and kaempferol 3-*O*- β -D-galacopyranoside (**19**),⁴⁴
268 respectively, by comparing their physical and spectroscopic data with reported values
269 in literature and by authentic samples (Figures 2 and S1). In addition to **1-8**, **10** and **12**,

270 compound **14** was the first flavan-3-ol dimer with C-8/C-8 linkage through a methylene
271 bridge reported from black tea.

272 Compound **1**, $[\alpha]_D^{20} -29.7$ (c 0.16, MeOH), was obtained as brownish amorphous
273 powder. Its molecular formula, $C_{21}H_{23}NO_6$, was deduced, according to the negative
274 HR-ESI-MS (m/z 384.1455 $[M - H]^-$, calcd for $C_{21}H_{22}NO_6$: 384.1453). The IR spectrum
275 indicated the presence of hydroxyl (3411 cm^{-1}), benzene ring (1617 and 1517 cm^{-1}),
276 and carbonyl (1691 cm^{-1}) groups in the compound. The existence of a flavan-3-ol
277 skeleton was indicated obviously from the ^1H and ^{13}C NMR spectrum of **1**. The typical
278 proton signals for rings A, B, and C at δ_{H} 4.85 (1H, s, H-2), 5.45 (1H, br s, H-3), 2.70
279 (1H, br s, H-4 α), 2.88 (1H, br s, H-4 β) (ring C), 6.02 (1H, s) (ring A), 7.30, 6.78 (each
280 2H, d, $J = 8.5$ Hz, H-2'/6', 3'/5') (ring B) were quite similar to those of epiafzelechin
281 (EA, **12**). However, unlike EA, the ^1H and ^{13}C NMR data of **1** displayed only one
282 aromatic methine (δ_{H} 6.02, δ_{C} 97.6) and an additional quaternary aromatic carbon
283 signals [δ_{C} 108.0], suggested a substituent at C-6 or C-8 of A ring. Besides signals
284 arising from the EA unit, a set of signals assignable to one carbonyl (δ_{C} 177.6, C-2''),
285 two methylenes (δ_{C} 32.6, 24.5, C-3'' and C-4''), one methine (δ_{C} 54.2, C-5''), and an
286 ethyl group (δ_{C} 36.3, 12.6, C-6'' and C-7'') were observed, whose corresponding protons
287 were at δ_{H} 2.46-2.40 (1H, m, H-3'' α), 2.66 (1H, dd, $J = 12.2, 5.5$ Hz, H-3'' β), 2.16 (1H,
288 dq, $J = 17.1, 5.6$ Hz, H-4'' α), 2.38-2.29 (1H, m, H-4'' β), 5.45 (1H, m, H-5''), 2.65 (1H,
289 dd, $J = 12.2, 5.5$ Hz, H-6'' α), 3.48 (1H, br s, H-6'' β), and 1.01 (3H, t, $J = 7.2$ Hz, H-7'')
290 as assigned by the HSQC spectrum. A partial structure of -C(3'')H₂-C(4'')H₂-C(5'')H-
291 can be derived from the correlations of ^1H - ^1H COSY. Furthermore, the HMBC
292 spectrum showed that the methylene protons (H-6'') of ethyl group at δ_{H} 3.48 and 2.65
293 were correlated with the methine carbon at δ_{C} 54.2 (C-5''), and the methylene protons
294 at δ_{H} 2.46-2.40 and 2.66 (H-3'') were correlated with the carbonyl carbon at δ_{C} 177.6

295 (C-2''). These correlations of HMBC and ^1H - ^1H COSY proved the existence of a *N*-
296 ethyl-2-pyrrolidinone ring, whose location on EA unit was further determined by
297 comparison of the ^{13}C NMR data originating from the A ring of **1** with those of etc-
298 pyrrolidinone E and G,³⁰ with a *N*-ethyl-2-pyrrolidinone ring at C-6 (C-6: δ_{C} 107.3, C-
299 8: 96.0), and C-8 (C-6: δ_{C} 95.9, C-8: 104.3), respectively. The chemical shift of C-6
300 and C-8 were 108.0 and 97.6, which were more related to etc-pyrrolidinone E.
301 Therefore, the structure of **1** was decided as C-6 *N*-ethyl-2-pyrrolidinone-substituted
302 (-)-epiafzelechin.

303 The absolute configurations at C-2,3,5'' of **1** were confirmed by comparing NMR
304 data and CD curves with those of **5** (Figure 3). Previous studies have shown that the
305 CD contribution of C-5'' can be clarified by subtracting one CD spectrum from its
306 stereoisomer with the same configurations at C-2/C-3.^{30, 31, 46} As it presented a positive
307 Cotton effect at 210 nm after subtracted the CD curves of **6** from that of **5** (Figure 4),
308 compound **5** was determined to be the 5''*S*-configuration. Similarly, compound **6** was
309 determined to be the 5''*R*-configuration (Figure 4). On the basis of the above evidence,
310 compound **1** was determined to be (-)-6-(5''*S*)-*N*-ethyl-2-pyrrolidinone-epiafzelechin.

311 Compounds **2a** and **2b**, $[\alpha]_{\text{D}}^{20}$ -232.3 (*c* 0.14, MeOH), obtained as brownish
312 amorphous powder, are an inseparable mixture (Figure S13). The molecular formula,
313 $\text{C}_{28}\text{H}_{27}\text{NO}_{10}$, was deduced, according to the negative HR-ESI-MS (m/z 536.1560 [$\text{M} -$
314 $\text{H}]^-$, calcd for $\text{C}_{28}\text{H}_{26}\text{NO}_{10}$: 536.1557). The IR spectrum indicated the presence of
315 hydroxyl (3400 cm^{-1}), benzene ring (1615 and 1518 cm^{-1}), and carbonyl (1694 cm^{-1})
316 groups in the compound. The existence of an additional galloyl group [δ_{H} 6.80 (2H, s,
317 H-2''', 6'''), δ_{C} 121.2 (C-1'''), 110.3 (C-2''', 6'''), 146.7 (C-3''', 5'''), 140.6 (C-4'''), 167.8
318 (C-7''')] in **2** could be easily concluded from the ^1H , ^{13}C NMR and MS data (384 [$\text{M} -$
319 $\text{H} - \text{galloyl}]^-$), as compared with those of **1**. The typical signals for C-2 and C-3 [δ_{H}

320 5.01-4.93 (1H, m, H-2), 5.26 (1H, s, H-3), 2.82 (1H, d, $J = 17.5$ Hz, H-4 α), 2.92 (1H,
321 d, $J = 17.5$ Hz, H-4 β), δ_C 79.2 (C-2), 70.3 (C-3), 27.4 (C-4)] allowed the assignment of
322 the galloyl group at C-3 position. The location of the *N*-ethyl-2-pyrrolidinone ring at
323 C-8 in **2** was also established by comparison of the ^{13}C NMR data originating from A
324 ring (C-6: δ_C 96.3, C-8: 105.6) with those of etc-pyrrolidinone E and G.³¹ Thus, the
325 structure of **2** was deduced as C-8 *N*-ethyl-2-pyrrolidinone-epiafzelechin-3-*O*-gallate.

326 It was confirmed that the absolute configurations of C-2 and C-3 in **2** were 2*R* and
327 3*R* by comparing NMR data, optical rotation value and CD curves with those of EAG
328 (**10**) (Figure 3, S34 and S35). Compound **2** was a mixture of epimers **2a** and **2b** at C-5"
329 position. Due to the negative optical rotation value of compound **2** ($[\alpha]_D^{20} -232.3$), the
330 major isomer **2a** was defined as 5"*R*, and the minor isomer **2b** was 5"*S* configurations.³⁰
331 In addition, the negative Cotton effect at 211 nm ($\Delta\epsilon -33.36$) of compound **2** (major is
332 **2a**) is obviously derived from the chirality of 5"*R*,³¹ which was further proven by
333 comparing CD curve with **4** and **10** (Figure 3). Therefore, compound **2a** was deduced
334 as (-)-8-(5"*R*)-*N*-ethyl-2-pyrrolidinone-epiafzelechin-3-*O*-gallate, and compound **2b**
335 was deduced as (-)-8-(5"*S*)-*N*-ethyl-2-pyrrolidinone-epiafzelechin-3-*O*-gallate.

336 **α -Glucosidase Inhibitory Activity.** All of the flavan-3-ols (**1-14**), including eight *N*-
337 ethyl-2-pyrrolidinone-substituted ones, were evaluated for their α -glucosidase
338 inhibitory activity. As shown in Table 2, most of the tested compounds exhibited
339 significant α -glucosidase inhibitory activity, and more than half showed stronger
340 activity than the positive control (quercetin). The activity order was of **11** > **13** > **14** >
341 **10** > **2** > **6** > **5** > **13** > quercetin. At a concentration of 50 μM , compounds **1** and **7-9**
342 showed weak inhibitory effects on α -glucosidase. The results showed that the 3-*O*-
343 galloyl group plays the most important role in the α -glucosidase inhibitory activity,

344 while the *N*-ethyl-2-pyrrolidinone moiety has no obvious effect.

345 **AChE Inhibitory Activity.** With tacrine as positive control, the AChE inhibitory
346 activity of **1-8**, **10**, **12** and **14** was evaluated, and the results are shown in Table 3. At a
347 concentration of 50 μM , compounds **2**, **3**, **6** and **10** showed strong inhibitory activity
348 ($> 60\%$) against AChE, with IC_{50} values of 14.23, 33.79, 34.82, and 10.81 μM ,
349 respectively. Compounds **7** and **8** without C-3 galloyl substitution showed weak
350 inhibitory activity against AChE. The results suggested that the existence of galloyl
351 group attaching to the C-3 position might enhance the AChE inhibitory activity of
352 flavan-3-ols. The AChE inhibitory activity of **8** was not consistent with the previous
353 report,³⁰ in which, the 5''*R* epimer (**8**) isolated from the leaves of *C. sinensis* var.
354 *pubilimba* showed obvious, while 5''*S* epimer (**7**) showed weak AChE inhibitory
355 activity. Flavoalkaloids with a *N*-ethyl-2-pyrrolidinone moiety at C-8 position of
356 flavan-3-ol tend to be existed in and isolated as a mixture of C-5'' *R* and *S* epimers from
357 tea and tea plants.³⁰⁻³² After being kept in solution, both compounds **8** with 5''*R* and **7**
358 with 5''*S* configurations became gradually a mixture of 5''*R* and 5''*S* epimers, causing
359 the reduction of AChE inhibitory activity.

360 In conclusion, eight flavoalkaloids including two new ones, were isolated and
361 identified from the high-end Yunnan black tea 'Jin-Ya', together with 11 known flavan-
362 3-ols (**9-14**) and flavonol glycosides (**15-19**). The flavoalkaloids, **1-8**, flavan-3-ols, **10**
363 and **12**, and flavan-3-ol dimer, **14**, were obtained from black tea for the first time. The
364 absolute configuration at C-5'' of the pyrrolidinone ring of the new compounds **1** and **2**
365 was established by CD analyses. Five-eighth of the isolated flavoalkaloids (**2-6**) showed
366 strong inhibitory activities on α -glucosidase with IC_{50} values ranging from 2.09 to 8.47
367 μM . And half of them (**2**, **5** and **6**) showed stronger inhibitory activities than positive
368 control (quercetin). In addition, flavoalkaloids (**2**, **3** and **6**) showed an inhibitory effect

369 on AChE, with the IC₅₀ values at 14.23, 33.79, and 34.82 μ M, respectively. The
370 flavoalkaloids, **1-8**, are supposed to be formed from theanine and catechins during the
371 producing process of black tea, during which the enzyme may be play an important
372 role. Different catechin skeletons lead to different flavoalkaloids. Epiafzelechin (**12**)
373 and epiafzelechin 3-*O*-gallate (**10**), the precursor core structures of the new
374 flavoalkaloids **1** and **2**, are not the commonly existed flavan-3-ols in tea, and were
375 obtained from black tea for the first time. The isolations of **12** and **10**, though with very
376 low yields, might explain the existence of **1-2** in 'Jin-Ya' black tea, rather than other
377 teas. However, further comprehensive investigation on the fresh material and the
378 processing process of black tea 'Jin-Ya' are necessary and on progress now.

379

380 ASSOCIATED CONTENT

381 Supporting Information

382 ¹H and ¹³C NMR, HSQC, HMBC, UV spectra of compounds **1**, **2**, **5**, and **6**, HRESIMS,
383 IR, COSY, ROESY spectra of compounds **1** and **2**, OR, CD spectra of compounds **1**,
384 **2**, **5**, **6**, and **10**, the structures of compounds **14-19**. This material is available free of
385 charge via the Internet at <http://pubs.acs.org>.

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400

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Figure captions

1. **Figure 1.** HPLC chromatogram of MeOH extract of the fresh buds (a), 70% MeOH extract (b) and the de-caffeine fraction (c) of Yunnan black tea 'Jin-Ya'.
2. **Figure 2.** Compounds **1-14** isolated from Yunnan black tea 'Jin-Ya'.
3. **Figure 3.** CD spectra of **1** and **5** (A), and **2a** and **2b**, **4**, and **10** (B).
4. **Figure 4.** Configurations of compounds **5** and **6** were arithmetically determined by subtracting CD curves from each other for a couple of stereoisomers.

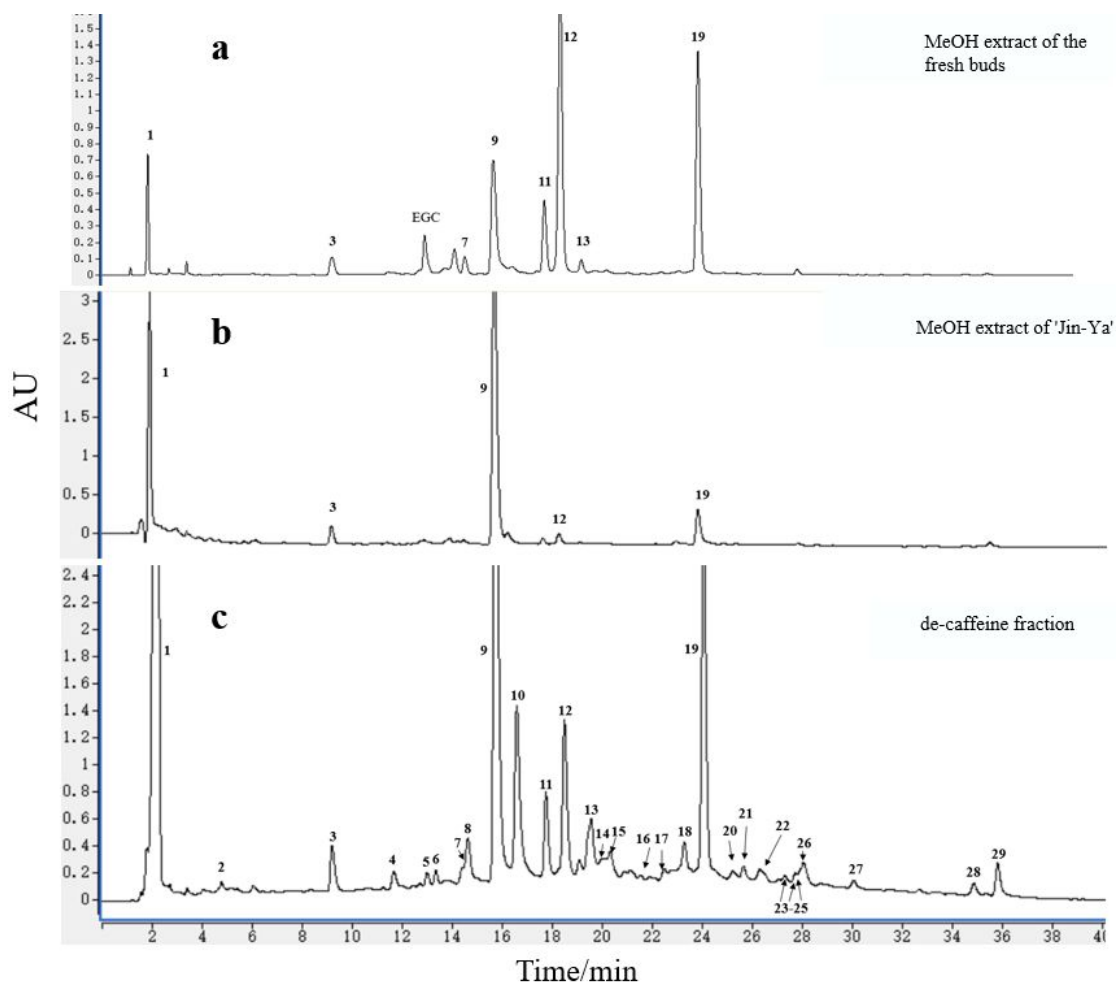


Figure 1. HPLC chromatogram of MeOH extract of the fresh buds (a), 70% MeOH extract (b) and the de-caffeine fraction (c) of Yunnan black tea 'Jin-Ya'.

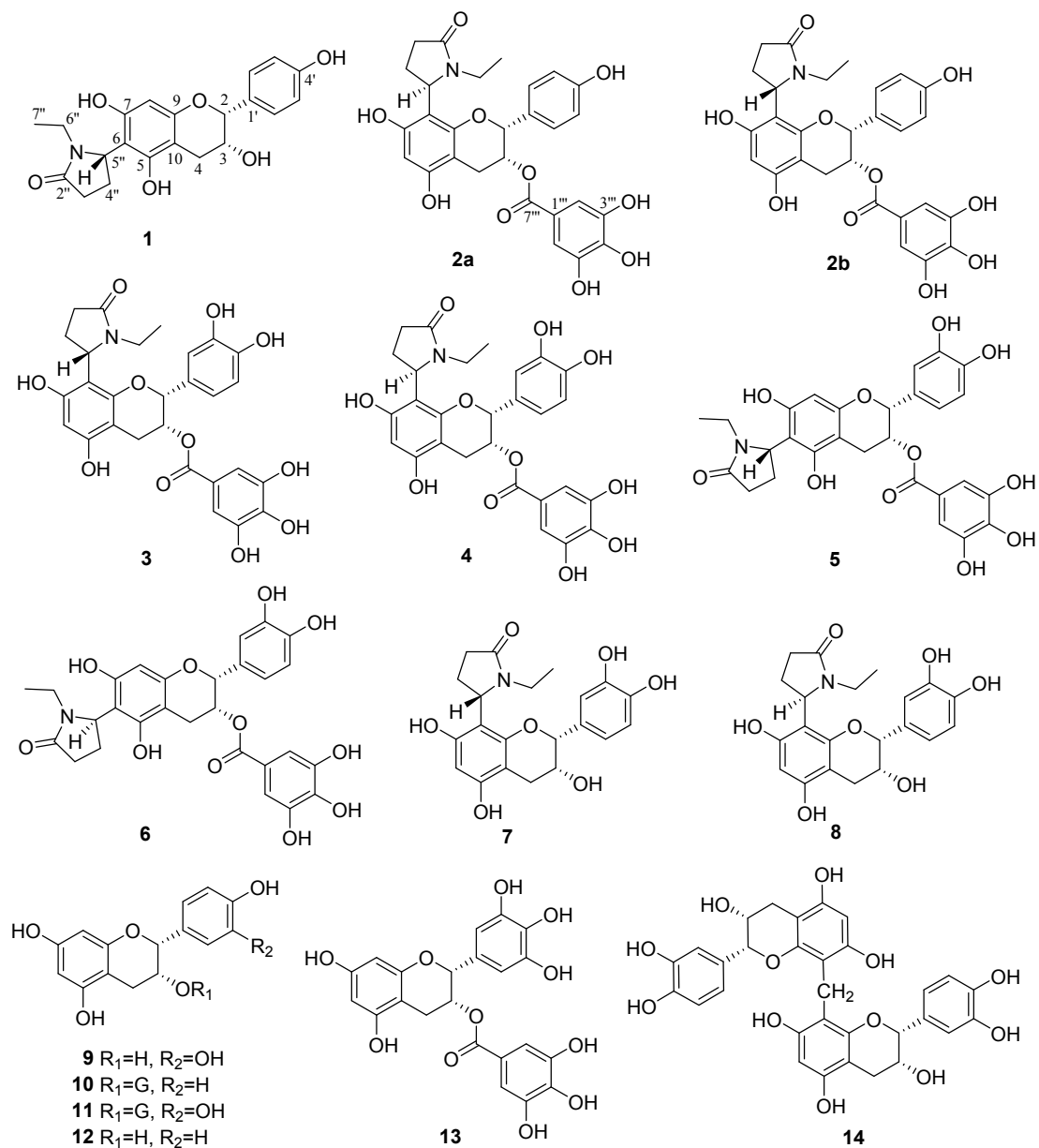


Figure 2. Compounds 1-14 isolated from Yunnan black tea 'Jin-Ya'

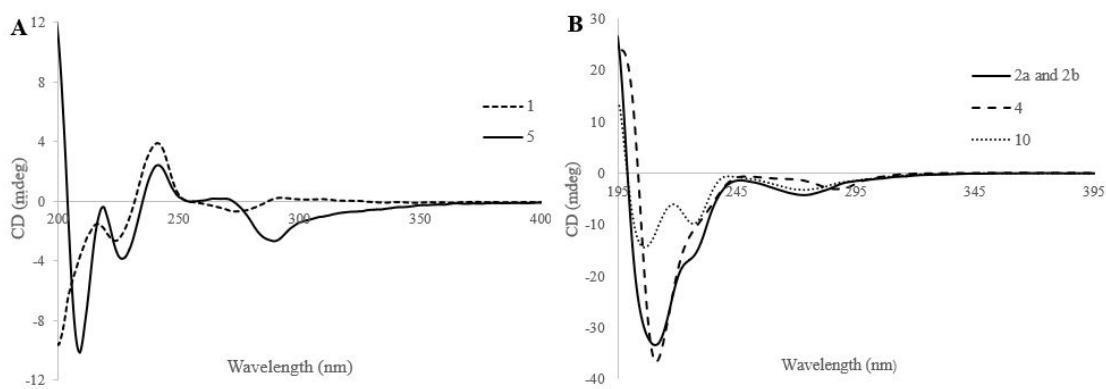


Figure 3. CD spectra of **1** and **5** (A), and **2a** and **2b**, **4**, and **10** (B).

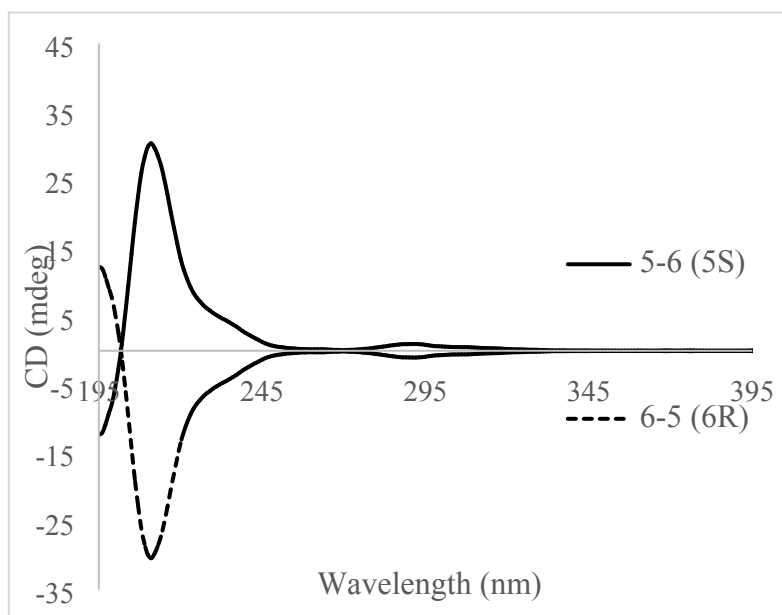


Figure 4. Configurations of compounds **5** and **6** were arithmetically determined by subtracting CD curves from each other for a couple of stereoisomers.

Table 1. Retention time (RT), molecular weight, as well as the *m/z* values of precursor ion and MS/MS fragments of the compounds in Yunnan black tea 'Jin-Ya'

Peak	RT (min)	Molecular weight	MS ⁺	MS ²⁺	MS ⁻	MS ²⁻	Compounds
1	2.20	170			169[M-H] ⁻	125[169-COO] ⁻	gallic acid ³⁷
2	4.82	332			331[M-H] ⁻	169[M-H-glucose] ⁻	β -glucogallin
3	9.24	218	219[M+H] ⁺				NI ^a
4	11.59	762			761[M-H] ⁻		theasinensin B
5	12.97	306			305[M-H] ⁻		(-)-epigallocatechin
6	13.35	484			483[M-H] ⁻		digalloyl glucopyranose
7	14.57	290			289[M-H] ⁻		catechin
8	14.80	634			633[M-H] ⁻		strictinin
9	15.73	194	195[M+H] ⁺				caffeine
10	16.67	914			913[M-H] ⁻	743[M-H-galloyl-H ₂ O] ⁻ ; 591[743-galloyl] ⁻	theasinensin A ³⁷
11	17.75	290			289[M-H] ⁻	245[M-COO] ⁻ ; 203, 123	9 ³⁷
12	18.45	458	459[M+H] ⁺ , 481[M+Na] ⁺	171[gallic acid] ⁺	457[M-H] ⁻	305, 169[gallic acid] ⁻	13
13	19.43	636			635[M-H] ⁻	483, 465, 441, 169[gallic acid] ⁻	trigalloyl glucopyranose ³⁷
14	19.69	886			885[M-H] ⁻	807, 733[M-H-galloyl] ⁻ ; 655, 427, 169[galloyl] ⁻	epigallocatechin gallate dimer
15	20.35	730			729[M-H] ⁻	577 [M-H-galloyl] ⁻ ; 441[ECG/CG] ⁻ ; 407[577-galloyl-H ₂ O] ⁻ , 289[epicatechin/catechin] ⁻ ; 169[gallic acid] ⁻	procyanidin dimer monogallate
16	21.63	401			400[M-H] ⁻		7
17	22.20	401			400[M-H] ⁻		8
18	23.34	302			301[M-H] ⁻		quercetin
19	24.08	442			883[2M-H] ⁻ ; 441[M-H] ⁻	289, 169[gallic acid] ⁻	11
20	25.33	594	595[M+H] ⁺	287[kaempferol] ⁺	593[M-H] ⁻	285[kaempferol] ⁻	15
21	25.74	448			447[M-H] ⁻		19
22	26.40	553			552[M-H] ⁻	400[M-H-galloyl] ⁻ ; 248, 169[gallic acid] ⁻ ; 112[1-ethyl-5-hydroxy-2-pyrrolidinone] ⁻	3 ³³
23	27.40	553			552[M-H] ⁻		5
24	27.79	553			552[M-H] ⁻		4
25	27.99	553			552[M-H] ⁻	400[M-H-galloyl] ⁻ ; 248, 169[galloyl] ⁻	6 ³³
26	28.11	426			425[M-H] ⁻	273[M-H-galloyl] ⁻ ; 255[273-H ₂ O] ⁻ ; 169[gallic acid] ⁻	10 ³⁷
27	30.13	882			881[M-H] ⁻		NI
28	34.99	716			715[M-H] ⁻		theaflavin-3-gallate
29	35.95	868			867[M-H] ⁻	697[M-H-galloyl] ⁻ ; 545, 527[697-galloyl] ⁻	theaflavin-3,3'-digallate

^a NI, not identified.

Table 2. α -Glucosidase inhibitory activities of compounds **1-14** from Yunnan black tea 'Jin-Ya'^a

Sample	IC ₅₀ (μ M) ^b	Sample	Inhibition ratio (%) ^c
quercetin ^d	6.870 \pm 0.161	quercetin ^d	73.81 \pm 0.60 ^e
acarbose ^d	228.95 \pm 0.38	1	14.59 \pm 2.46
2	2.094 \pm 0.173	7	26.61 \pm 2.48
3	8.474 \pm 0.402	8	31.31 \pm 2.04
4	7.769 \pm 0.013	9	17.00 \pm 1.64
5	5.398 \pm 0.273		
6	4.219 \pm 0.281		
10	1.230 \pm 0.115		
11	0.954 \pm 0.013		
12	6.024 \pm 0.008		
13	1.006 \pm 0.003		
14	1.158 \pm 0.033		

^a Values represent means \pm SD (n = 3). ^b IC₅₀ = one-half maximal inhibitory concentration to α -glucosidase. ^c Inhibition ratio (%) at a concentration of 50 μ M. ^d Positive control. ^e Concentration of quercetin was 10 μ M.

Table 3. AChE Inhibitory activity of compounds **1-8**, **10**, **12** and **14** from Yunnan black tea 'Jin-Ya'^a

Sample	IC ₅₀ (μM) ^b	Sample	Inhibition ratio (%) ^c
tacrine ^d	0.223 ± 0.007	tacrine ^d	64.88 ± 1.33 ^e
2	14.22 ± 0.571	1	25.44 ± 0.85
3	33.79 ± 1.022	4	56.62 ± 1.81
6	34.82 ± 2.110	5	34.32 ± 2.41
10	10.81 ± 0.134	7	32.00 ± 2.42
		8	32.98 ± 0.46
		12	36.34 ± 2.49
		14	47.16 ± 0.22

^a Values represent means ± SD (n = 3). ^b IC₅₀ = one-half maximal inhibitory concentration to AChE.

^c At a concentration of 50 μM. ^d Positive control. ^e At a concentration of 0.333 μM.