by Jian Yan^a)^b), Bao-Hui Cheng^a)^b), Xian-Min Zhang^a), and Ming-Hua Qiu^{*a})^b)

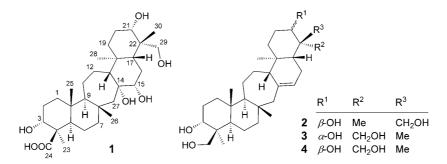
^a) State Key Laboratory of Phytochemistry and Plant Resources in West China, Kunming Institute of Botany, Chinese Academy of Sciences, Kunming 650204, P. R. China

(phone: +86-871-5223327; fax: +86-871-5223255; e-mail: mhchiu@mail.kib.ac.cn)

^b) Graduate School of the Chinese Academy of Sciences, Beijing 100039, P. R. China

Three new serratane triterpenoids, $(3\alpha,14\alpha,15\alpha,21\alpha)$ -3,14,15,21,29-pentahydroxyserratan-24-oic acid (1), $(3\alpha,21\beta)$ -serrat-14-ene-3,21,24,30-tetraol (2), and $(3\alpha,21\alpha)$ -serrat-14-ene-3,21,24,29-tetraol (3), were isolated from *Phlegmariurus squarrosus*, together with eight known compounds. Their chemical structures were elucidated on the basis of in-depth spectroscopic analyses.

Introduction. – Recent extensive studies on *Lycopodium*, *Huperzia*, and *Picea* plants have revealed some genera-characteristic serratane-type triterpenoids, the common structural feature being a seven-membered *C*-ring [1–3], some of which were found to be highly bioactive [4–7]. In continuation of our studies on these species, we now report three new serratane¹) derivatives (1–3), which were isolated from *Phlegmariurus squarrosus* (FORST.) LÖVE, together with the following eight known constituents: $(3\alpha,21\beta)$ -serrat-14-ene-3,21,24,29-tetraol (4), serratenediol-3-acetate, 21-episerratenediol, serratenediol, serrat-14-ene-3 β -yl propanedioic acid monoester, and 21 α -hydroxyserrat-14-en-3 β -yl dihydrocaffeate.



Results and Discussion. – The molecular formula $C_{30}H_{50}O_7$ was established for **1** by FAB-MS, combined with HR-FAB-MS (m/z 521.3469 ($[M-H]^-$, $C_{30}H_{49}O_7^-$; calc.

¹) Serratane = (4aS,6aS,9aS,13aR,13bS,15aS,15bS)-docosahydro-4,4,6a,10,10,13a,15b-heptamethyl-1*H*-cyclohepta[1,2-*a*:5,4-*a*']dinaphthalene.

^{© 2006} Verlag Helvetica Chimica Acta AG, Zürich

521.3478). In the ¹³C-NMR spectrum of **1** (*Table*), the signals at δ (C) 77.8 (C(14)) and 76.4 (C(15)) indicated the presence of OH groups at C(14) and C(15). Analysis of the ¹H- and ¹³C-NMR spectra showed that **1** was structurally related to lycernuic acid C [8], except for the configurations at C(3) and C(21). The ¹³C-NMR (DEPT) spectrum showed 30 C-atoms: five quaternary C-atoms, five Me, ten CH₂ (including a characteristic serratane signal at δ (C) 54.9 (C(27))), and four CH groups, as well as three oxygenated CH (δ (C) 70.8 (C(3)), 76.4 (C(15)), 73.3 (C(21))), one oxygenated CH₂ (δ (C) 67.6 (C(29))), one oxygenated quaternary C-atom (δ (C) 77.8 (C(15))), and one COOH group at δ (C) 181.0 (C(24)).

The positions of the functional groups were deduced from HMBC experiments. The orientation of the COOH group at C(4) was derived by comparison of the ¹³C-NMR chemical shifts with those of lycernuic acid C [8] and 3α ,21 α -dihydroxyserrat-14-en-24-oic acid [9]. H–C(3) in **1** was axial (β -face), as indicated by its ¹H-NMR resonance at δ (H) 4.68 (br. *s*, 1 H), whereas H–C(21) appeared to be equatorial (β -face), resonating at δ (H) 4.28 (*dd*, J = 10.8, 4.6, 1 H) [9–11]. The α -orientations of the 14- and 15-OH groups were deduced by comparison of their ¹³C-NMR chemical shifts with those of lycernuic acid C [8], and by means of NOE experiments. The orientation of the CH₂OH group at C(22) was established by a ROESY experiment (*Figure*). ROESY Correlations between H–C(21), H–C(17), and Me(30), and between CH₂(29) and Me(28) indicated that C(29) was α -configured.

On the basis of the above evidence, the structure of compound **1** was established as $(3\alpha,14\alpha,15\alpha,21\alpha)$ -3,14,15,21,29-pentahydroxyserratan-24-oic acid, and was named *lycernuic acid F*.

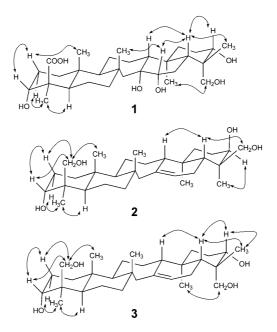


Figure. Key ROESY correlations for compounds 1-3

Position	n 1	1		2		3	
	¹³ C	¹ H	¹³ C	¹ H	¹³ C	$^{1}\mathrm{H}$	
1	34.7 (t)	1.88–1.94 (<i>m</i>)	34.3 (t)	1.40–1.51 (<i>m</i>),	34.3 (t)	1.39–1.51 <i>(m)</i> ,	
				1.68–1.72 (<i>m</i>)		1.68 - 1.78 (m)	
2	27.9 (t)	2.02–2.10 (<i>m</i>)	26.8 (t)	1.85–1.95 (<i>m</i>)	26.8 (t)	1.85 - 1.95(m)	
3	70.8(d)	4.68 (br. s)	70.1(d)	4.45 (br. s)	70.1(d)	4.60 (br. s)	
4	48.7 (s)	-	44.3 (s)	-	44.3 (s)	-	
5	49.5 (d)	2.14–2.16 (<i>m</i>)	50.4 (d)	1.85–1.95 (<i>m</i>)	50.4 (d)	1.84–1.96 (<i>m</i>)	
6	21.2 (t)	2.43–2.48 (<i>m</i>),	19.7 (t)	1.59–1.64 (<i>m</i>)	19.7 (t)	1.59–1.64 (<i>m</i>)	
		1.86–1.90 (<i>m</i>)					
7	45.0 (<i>t</i>)	1.62–1.70 (<i>m</i>)	46.0 (<i>t</i>)	1.20–1.30 (<i>m</i>), 1.35–1.45 (<i>m</i>)	46.0 (<i>t</i>)	1.25–1.35 <i>(m)</i>	
8	38.6 (s)	-	37.7 (s)	-	37.4 (s)	-	
9		1.19–1.22 (<i>m</i>)		0.95 - 1.05(m)	63.0 (d)	0.95 - 1.05 (m)	
10	38.8 (s)	-	38.2 (s)	-	38.6 (s)	-	
11	26.2(t)	1.22 - 1.28 (m),	25.6(t)	1.05 - 1.15(m)	25.6(t)	1.05 - 1.13 (m)	
		1.86 - 1.90 (m)					
12	27.8 (t)	2.13 –2.17 (<i>m</i>)	27.6 (t)	1.05 - 1.16 (m)	27.7 (t)	1.05 - 1.16 (m)	
13	59.3 (d)	1.85–1.93 (<i>m</i>)	57.4 (d)	2.00-2.10(m)	57.6 (d)	2.00-2.15(m)	
14	77.8 (s)	-	139.2 (s)	-	138.0 (s)	-	
15	76.4 (d)	3.88–3.91 (<i>m</i>)	122.5 (d)	5.40 (br. s)	122.7 (d)	5.40 (br. s)	
16	25.5(t)	1.79–1.86 (<i>m</i>)	24.4(t)	1.55 - 1.62 (m)	24.6(t)	1.55 - 1.62 (m)	
17	45.5 (d)	1.55 - 1.62 (m)	38.6 (d)	2.57 - 2.61 (m)	43.4 (d)	2.50 (dd, J = 7.0, 4.0)	
18	38.1 (s)	-	36.1 (s)	-	36.3 (s)	-	
19	38.8 (t)	1.20 - 1.24 (m),	31.7 (t)	1.61–1.70 (<i>m</i>),	37.3 (t)	1.90 - 1.96 (m)	
		1.80 - 1.90 (m)		1.92–1.99 (<i>m</i>)			
20	28.0 (<i>t</i>)	1.25-1.29 (m), 2.00-2.05 (m)	26.7 (<i>t</i>)	1.90–1.97 (<i>m</i>)	28.2 (<i>t</i>)	2.00–2.06 (<i>m</i>)	
21	73.3 (d)	4.28 (dd, J = 10.8, 4.6)	75.7 (d)	4.04 (br. s)	73.4 (d)	4.44 (dd, J = 2.3, 11.2)	
22	43.2 (s)	-	40.8 (s)	-	43.1 (s)	-	
23	25.3 (s)	1.12 (s)	23.6(s)	1.59 (s)	23.1(s)	1.59 (s)	
24	181.0(s)	-	65.8 (t)	4.10(d, J = 10.8),	65.5(t)	3.86 (d, J = 10.7),	
				3.86(d, J = 10.8)		4.25 (d, J = 10.7)	
25	14.4(s)	1.17 (s)	16.7 (s)	0.92(s)	14.4(s)	0.82(s)	
26	23.2(s)	1.70 (s)	20.2(s)	0.82(s)	20.3(s)	0.87(s)	
27	54.9 (<i>t</i>)	1.90–1.99 (<i>m</i>)	56.9 (<i>t</i>)	2.20-2.31 (m), 1.86-1.90 (m)	56.7 (<i>t</i>)	2.20-2.31 (m), 1.82-1.95 (m)	
28	13.3 (s)	1.09(s)	14.7(s)	0.80(s)	12.2(s)	· · ·	
29		4.20 (d, J=10.2),	()	0.83(s)		3.65 (d, J=10.5),	
_/	0,10 (1)	3.75 (d, J = 10.2),	1,10 (0)		5,10 (1)	4.27 (d, J = 10.5),	
30	16.9 (s)	1.12 (s)	70.7(t)	3.64 (d, J = 10.9),	16.7 (s)		
20	10.2 (0)	(5)	, (1)	3.84 (d, J = 10.9),	10.7 (0)		

Table. ¹³*C*- and ¹*H*-*NMR Data for* **1**–**3**. Recorded at 100 or 125 MHz (¹³C), and at 400 or 500 MHz (¹H) in C_5D_5N ; δ in ppm, *J* in Hz.

The ESI mass spectrum of compound **2** displayed the $[M+Na]^+$ peak at m/z 497, supporting the molecular formula $C_{30}H_{50}O_4$. The ¹H- and ¹³C-NMR spectra of **2** (*Table*) were very similar to those of the known compound $(3\alpha,21\beta)$ -serrat-14-ene-3,21,24,29-tetraol (4) [12][13], apart from the C(22) resonances. The ¹³C-NMR

(DEPT) spectrum of **2** showed signals for a C=C bond at δ (C) 139.2 (C(14)) and 122.5 (C(15)), two oxygenated CH (δ (C) 70.1 (C(3)) and 75.7 (C(21))), two oxygenated CH₂ (δ (C) 65.8 (C(24)) and 70.7 (C(30))), five Me, eleven CH₂, and four CH groups, as well as five quaternary C-atoms.

The CH₂OH groups at C(4) and C(22) were established by HMBC correlations. In the ¹H-NMR spectrum of **2**, both H–C(3) and H–C(21) appeared as broad signals, suggesting that they were in β - and α -position, respectively. The CH₂OH group at C(4) was shown to be in β -orientation, as deduced by two key NOE correlations between CH₂(24) (δ (H) 4.10, 3.86) and Me(25) (δ (H) 0.92). The CH₂OH function at C(22) was also in β -orientation, with NOE correlations between CH₂(30) (δ (H) 3.84, 3.64) and H–C(17) (δ (H) 2.59), as further corroborated by ROESY experiments (*Figure*). The ¹³C-NMR signal for C(17) at δ (C) 38.6 was shifted upfield by 4.9 ppm compared to that in **4** [12] due to a γ -gauche effect from the additional CH₂OH group. Owing to intramolecular H-bonding between the 21-OH and 30-OH groups, the chemical shift for C(21) (δ (C) 75.7) was shifted downfield by 7.6 ppm relative to that in **4** [12], which confirmed that the second OH group was at C(30), rather than at C(29).

From the above data, in combination with further HMQC, HMBC, and ROESY experiments, the structure of compound **2** was unambiguously assigned as $(3\alpha, 21\beta)$ -serrat-14-ene-3,21,24,30-tetraol, and named *phlegmariurol A*.

Compound **3** had the same molecular formula, $C_{30}H_{50}O_4$, as **2** and **4**, and the IR spectrum indicated OH groups. The ¹H- and ¹³C-NMR spectra of **3** (*Table*) were basically identical with those of **4**, except for the signals of H–C(21), with a C=C bond, two oxygenated CH, two oxygenated CH₂, five Me, eleven CH₂, four CH, and five quaternary C-atoms. Therefore, compounds **3** and **4** were supposed to be 21-epimers. The ¹H-NMR signal for H–C(21) (*dd*, *J*=2.3, 11.2 Hz) of **3** indicated that the OH group was in α -position. In the HMBC spectrum of **3**, long-range correlations were observed between CH₂(29) (δ (H) 3.65 (*d*, *J*=10.5), 4.27 (*d*, *J*=10.5)) and both C(21) (δ (C) 73.4) and Me(30) (δ (C) 16.7), between CH₂(24) (δ (H) 3.86 (*d*, *J*=10.7), 4.25 (*d*, *J*=10.7)) and both C(3) (δ (C) 70.1) and Me(23) (δ (C) 23.1). In the ROESY spectrum (*Figure*), significant NOEs were observed between CH₂(29) and Me(30), which suggested that the 21-OH and the 22-CH₂OH groups were β - and α -configured, respectively. Since we observed no γ -gauche effect, the chemical shift at C(17) (δ (C) 43.4) was similar as that in **4** [12], which corroborated a 29-OH group.

From the above considerations, the chemical structure of compound **3** was established as $(3\alpha,21\alpha)$ -serrat-14-ene-3,21,24,29-tetraol, and named *phlegmariurol B*.

We are grateful to the *Chinese Academy of Sciences*, People's Republic of China, for financial support in the context of the XiBuZhiGuang Program.

Experimental Part

General. Column chromatography (CC): silica gel (200–300 mesh, Qingdao Marine Chemical, Inc.), Lichroprep RP-18 (40–63 µm, Merck), or Sephadex LH-20 (Pharmacia). TLC: Spots were visualized by spraying with 10% aq. H₂SO₄ soln., followed by heating. HPLC: Agilent 1100 instrument. Melting points (m.p.): X-4 apparatus; uncorrected. Optical rotations: Horiba SEAP-300 spectropolarimeter. IR Spectra: Shimadzu IR-450 instrument, with KBr pellets; in cm⁻¹. NMR Spectra: Bruker AV-400 or DRX-500 instruments; chemical shifts δ in ppm rel. to Me₄Si, coupling constants J in Hz. FAB-MS and HR-EI-MS: VG Autospec-3000 spectrometer; in m/z (rel. %).

Plant Material. Phlegmariurus squarrosus (FORST.) LÖVE was collected in Xishuangbanna, Yunnan Province, China, in May 2003. The plant was identified by Prof. *Baogui Li*, Xishuangbanna Tropical Botanical Garden, Chinese Academy of Sciences. A voucher specimen (KUN No. 200304022) was deposited at the State Key Laboratory of Phytochemistry, Kunming Institute of Botany, Kunming, China.

Extraction and Isolation. The powdered plant material of P. squarrosus (850 g) was exhaustively extracted with 90% aq. EtOH $(4 \times 3 1)$ at reflux. The extracts were combined and evaporated to dryness. The residue (239 g) was dissolved in MeOH/H₂O 9:1 (21) and extracted with AcOEt (4×1 l), which afforded 70 g of material. Part of the AcOEt extract was adsorbed on SiO_2 (100 g) and subjected to CC (1000 g SiO₂; CHCl₃/Me₂CO 10:0, 9:1, 8:2, 7:3, 6:4, and 0:10) to afford five fractions (Fr.): Fr. 1 (oil), Fr. 2 (25 g), Fr. 3 (10 g), Fr. 4 (20 g), and Fr. 5 (5 g). Fr. 2 was subjected to CC (SiO₂; CHCl₃/ MeOH 40:1, 30:1, 20:1) to afford four subfractions (Fr. 2.1-2.4). Fr. 2.1 was further purified by repeated CC (SiO₂; CHCl₃/MeOH 60:1, 50:1) to yield serratenediol-3-acetate (500 g) and 21-episerratenediol (2 g). Fr. 2.2 was further purified by CC (SiO₂; CHCl₃/MeOH 35:1) to provide serratenediol (1 g). Fr. 3 was repeatedly purified by CC (SiO₂; CHCl₃/MeOH 20:1) to afford serrat-14-ene- 3β , 21α , 24-triol (300 mg), 3a,21a-dihydroxyserrat-14-en-24-oic acid (200 mg), and a residue. The latter was purified by HPLC (SHIMADZU PRC-ODS (K), 30.0 mm i.d. × 25 cm; MeOH/H₂O 65:35) to provide 2. Fr. 4 was rechromatographed (SiO₂; CHCl₃/MeOH 25:1, 20:1, 15:1), which gave two subfractions (Fr. 4.1 and 4.2) and 21α -hydroxyserrat-14-en-3 β -yl propanedioic acid monoester (100 mg). Fr. 4.1 was subjected to CC (Silicagel; CHCl₃/MeOH 20:1) to yield 21α-hydroxyserrat-14-en-3β-yl dihydrocaffeate (500 mg) and 1 (10 mg). Finally, Fr. 4.2 was subjected to HPLC (ZORBAX® ODS 21.2 mm i.d.×25 cm; MeOH/ $H_2O 60:40$) to give 2 (30 mg) and 3 (15 mg).

Lycernuic Acid F (= $(3\alpha, 14\alpha, 15\alpha, 21\alpha)$ -3,14,15,21,29-Pentahydroxyserratan-24-oic Acid; **1**). Colorless powder. M.p. 244°. $[a]_D^{23} = -25^{\circ}$ (c = 0.3, MeOH). IR (KBr): 3395, 2930, 2855, 1695, 1568, 1445, 1245, 1168, 1025. ¹H- and ¹³C-NMR: see the *Table*. FAB-MS: 521. HR-FAB-MS: 521.3469 ($[M-1]^-$, $C_{30}H_{49}O_7^-$; calc. 521.3478).

Phlegmariurol A (=(*3α*,2*1β*)-*Serrat-14-ene-3*,2*1*,2*4*,30-*tetraol*; **2**). Colorless powder. M.p. >350°. [*α*]_D²³ = -13.1 (*c* = 0.91, EtOH). IR (KBr): 3405, 2935, 1570, 1448, 1250, 1168, 1030. ¹H- and ¹³C-NMR: see *Table.* ESI-MS: 497 (20, [*M*+Na]⁺), 456 (10, [*M*-OH]⁺), 325 (5), 298 (10), 212 (20), 197 (55), 102 (65), 74 (100). HR-ESI-MS: 497.3610 (10, [*M*+Na]⁺, C₃₀H₅₀NaO⁴₄; calc. 497.3606).

Phlegmariurol B (=(3 α ,21 α)-*Serrat-14-ene-3*,21,24,29-*tetraol*; **3**). Colorless powder. M.p. 310°. [α]_D²³ = -14.4 (c=0.81, EtOH). IR (KBr): 3405, 2920, 1570, 1450, 1250, 1168, 1025. ¹H- and ¹³C-NMR: see *Table.* ESI-MS: 474 (25, M^+), 456 (20, [M-OH]⁺), 325 (15), 298 (10), 212 (20), 197 (55), 102 (65), 74 (100). HR-ESI-MS: 474.7235 (M^+ , $C_{30}H_{50}O_4^+$; calc. 474.7230).

REFERENCES

- [1] Y. Tsuda, Y. Tabata, Y. Ichinohe, Chem. Pharm. Bull. 1980, 28, 3275.
- [2] A. H. Conner, T. P. Haromy, M. Sundaralingam, J. Org. Chem. 1981, 46, 2987.
- [3] J. Yan, X. M. Zhang, Z. R. Li, L. Zhou, J. C. Chen, L. R. Sun, M. H. Qiu, *Helv. Chim. Acta* 2005, 88, 240.
- [4] T. Reiko, I. Yohei, M. Toshifumi, M. Katsuhiko, T. Harukuni, M. Shunyo, *Planta Med.* 2003, 69, 1041.
- [5] T. Reiko, M. Toshifumi, T. Kazuhiro, M. Shunyo, T. Harukuni, N. Hoyoku, T. Yukimasa, Y. Akira, *Cancer Lett.* 2001, 172, 119.
- [6] T. Reiko, M. Toshifumi, I. Yohei, M. Shunyo, T. Harukuni, N. Hoyoku, Cancer Lett. 2003, 196, 121.
- [7] T. Reiko, S. Kandasamy, Y. Chiharu, I. Yohei, T. Harukuni, N. Kiyoharu, N. Manabu, *Cancer Lett.* 2004, 214, 149.
- [8] Z. Z. Zhang, H. N. Elsohly, M. R. Jacob, D. S. Pasco, L. A. Walker, A. M. Clark, J. Nat. Prod. 2002, 65, 979.
- [9] H. Zhou, C. H. Tan, S. H. Jiang, D. Y. Zhu, J. Nat. Prod. 2003, 66, 1328.

- [10] J. W. Rowe, C. L. Bower, Tetrahedron Lett. 1965, 6, 2745.
- [11] X. M. Wang, H. X. Lou, *Chin. J. Magn. Reson.* 2005, 22, 35.
 [12] H. Zhou, Y. S. Li, X. T. Tong, H. Q. Liu, S. H. Jiang, D. Y. Zhu, *Nat. Prod. Res.* 2004, 18, 453.
- [13] Y. Tsuda, K. Isobe, T. Sano, Chem. Pharm. Bull. 1975, 23, 264.

Received July 24, 2006